



1615/DPH
J. No. 42

GRUNECK 0006-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LENDLEIN *et al.* Examiner: To be assigned
Serial No.: 10/511,019 Group Art Unit: 1711
Filed: October 8, 2004
For: Method of Achieving a Shape-Memory Effect on Hair
Customer No.: 23719 Kalow & Springut LLP
488 Madison Avenue, 19th Floor
New York, New York 10022

May 18, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, Applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO/SB/08A. Applicants do not admit that the references are "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such references. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with Applicants' duty to disclose all information of which they are aware and believe relevant to the examination of the above-identified application, Applicants believe that their invention is patentable.

Certificate of Mailing Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited on the date shown below with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Mark Daly
(Signature)

Mark Daly
(Printed Name of Person Signing Certificate)

May 18, 2006
(Date)



Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

(Use as many sheets as necessary)

| | | | |
|-------|---|----|---|
| Sheet | 1 | of | 1 |
|-------|---|----|---|

Complete if Known

| | |
|------------------------|-------------------|
| Application Number | 10/511,019 |
| Filing Date | 18 October 2004 |
| First Named Inventor | LENDLEIN, Andreas |
| Art Unit | 1711 |
| Examiner Name | To be assigned |
| Attorney Docket Number | 13633PCTUS |

U. S. PATENT DOCUMENTS

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-----------------------|---|--------------------------------|---|---|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ *Number ⁴ *Kind Code ⁵ (if known) | | | | |
| | | JP2001005577, JPO Patent Abstr. | 09-04-2002 | BASF AG | | |
| | | JP 2001005577, Derwent Record | 09-04-2002 | BASF AG | | |
| | | WO 02/09655 A2 + A3 | 02-07-2002 | L'OREAL | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

I. U.S. PATENT APPLICATION PUBLICATION

| Patent No. | Date Issued | Title |
|--|--------------------|--|
| US 2003/0091602 A1 to WITTELER, <i>et al.</i> | May 15, 2003 | Method of Treating a Cosmetic Composition by Irradiation With NIR Radiation, and Its Use |

II. FOREIGN PATENT DOCUMENTS

| Publication No. | Country | Publication Date |
|---------------------------|----------------|-------------------------|
| JP 2001240512, JPO Abstr. | JP | 4 September 2001 |
| WO 02/09655 A2 & A3 | WIPO | 7 February 2002 |

III. NON PATENT PUBLICATIONS

JP 20012450512, Derwent Record (2 pages)

Because no action has been taken on the merits, Applicants submit that no fee is due at this time. However, if a fee is deemed necessary, please charge Deposit Account No. 11-0171.

Respectfully submitted,



Tor Smeland
Registration No.: 43,131
Attorney for Applicant

Kalow & Springut LLP
(212) 813-1600